



ENERGY AND ENVIRONMENT CABINET

DEPARTMENT FOR ENVIRONMENTAL PROTECTION
DIVISION OF WASTE MANAGEMENT
200 Fair Oaks Lane
FRANKFORT, KY 40601
TELEPHONE NUMBER (502) 564-6716

**Waste Tire Facility
Registration Form
Form DEP 7101-A (6/98)**

KRS 224.50 requires that no person shall accumulate more than twenty-five (25) waste tires at a time for processing, transport more than fifty (50) waste tires at a time, or accumulate more than one hundred (100) waste tires at a time without first registering and receiving a letter of approval from the cabinet.

This form is to be used to register waste tire accumulators, transporters, and processors in accordance with **KRS 224.50**. To register such a facility, the original and one (1) copy of this completed registration form (please type or print) should be submitted to the Solid Waste Branch in the Division of Waste Management at the address listed above. If you are submitting a modification to an existing registration, please indicate this on the registration form and attach a cover letter explaining the modification. Questions regarding this form may be directed to the Solid Waste Branch as listed above.

Registrants are expected to understand and comply with **KRS 224.50**, as it applies to the management and disposal of waste tires. To obtain a copy of these statutes, contact the Division of Waste Management's Program Planning and Administration Branch at (502) 564-6716.

Facility Information

1. Facility Type:
 Waste Tire Accumulator Waste Tire Processor Waste Tire Transporter

2. New Registration Modification of existing registration (Registration #)
 Reason for modification:

3. Official name of legal entity that owns and operates the facility (corporation, city, fiscal court, etc):

4. Facility Owner Mailing Address:

5. City: 6. State: 7. Zip: 8. County

9. Facility Owner Contact Person: 10. Title:

11. E-Mail Address:

12. Phone #: () - 13. Cell #: () - 14. Fax #: () -

15. Facility Name:

16. Facility Location (street address, no PO Box):

17. City: 18. State: 19. Zip: 20. County

21. Facility Contact Person: 22. Title:

23. Phone #: () - 24. Cell #: () - 25. Fax #: () -

26. Latitude: 3 °, ‘, “ 27. Longitude: 8 °, ‘, “

28. Describe the activities of the operation as they relate to waste tires. Provide enough detail to demonstrate compliance with the requirements of KRS 224.50 as they relate to waste tires. Provide this description as **Attachment 1**.

 If not applicable, check here

29. List the total number of mobile waste tire containers (trailers, roll-offs, etc.) being registered, if applicable:

30. What is the anticipated date when the facility will begin operating? - -

31. What is the anticipated, average number of passenger tire equivalents (PTEs) to be



accepted on a daily and annual basis?

Daily: Annual: Not Applicable

32. What is the anticipated, average number of PTEs to be processed on a daily and annual basis?

Daily Annual Not Applicable

33. Including all storage areas and mobile waste tire containers, what is the maximum number of PTEs to be accumulated at this facility at any given time? (This is the amount on which financial assurance will be based.)

Total capacity of all storage areas

Total capacity of all mobile waste tire containers +

Maximum number of PTEs

34. List the destination of the waste tires (for example, landfill; end user; processor; accumulator).

Facility Landfill	State	Landfill Permit or Registration Number

NOTE: If waste tires are taken to a landfill, the landfill will require identification of the sources (counties or state of origin) of the waste tires to make sure that the landfill is permitted to accept waste from those sources. If the landfill has not been approved to accept waste from the sources where the waste tires originated, the landfill will not accept those tires.

35. Provide a description of how mosquitoes and water entrapment will be prevented in the waste tires. If more space is needed use a separate page and label as **Attachment 2**.

If not applicable, check here

36. If waste tires are to be incinerated or used as a fuel, provide evidence of the local determination in accordance with KRS 224.40-3 15 (1). Please label this evidence as **Attachment 3**.

If not applicable, check here



37. Submit a current site plan drawing showing all buildings, roads, property boundaries, streams, ponds, fences, tire storage areas, etc. Label as **Attachment 4**.

If not applicable, check here

38. Submit a U.S.G.S. 7.5 minute topographic map showing the location of the facility and the property boundaries. Please label as **Attachment 5**.

39. Pursuant to **401 KAR 47:160, Section 6**, a person with signature authority such as a sole proprietor, owner, partner, corporate officer, plant manager, LLC member, mayor, county judge executive or other authorized official must sign this certification statement.

NOTE: Consultants may not sign the following certification statement.

“I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for such violations.”

Name of Person Signing (type or print):

Title of Person Signing:

Date: - - -

Signature per 401 KAR 47:160: _____

Subscribed and sworn to before me this _____ day of _____, Year 20_____

Notary Public Signature:

State of _____ County of _____ . My commission expires: _____



Applicant Disclosure Statement

The Applicant is the person, partnership, association, company, corporation, government agency, etc., which owns or operates the facility.

Type or print your responses legibly in indelible ink.

- 1. New Facility - A Permit and Agency Interest number will be assigned by the Cabinet.
- 2. This is updated disclosure information for one or more existing facilities.

3. Applicant's complete name: _____

4. Applicant's mailing address: _____

5. State of Incorporation or Application: _____

6. City: _____

7. State: _____

8. Zip Code: _____

9. Contact Person: _____

10. Title: _____

11. Phone #: () - _____

12. Cell #: () - _____

13. Fax #: () - _____

14. E-Mail Address: _____

15. When updating disclosure information for one or more Kentucky facilities list all of the applicable sites and include the Agency Interest # and historic permit # for each site in the following table: Provide this data as Attachment 1 if additional pages are necessary.

Site Name	Agency Interest #	Permit #
1.		-
2.		-
3.		-
4.		-
5.		-
6.		-
7.		-
8.		-
9.		-
10.		-
11.		-
12.		-
13.		-
14.		-
15.		-
16.		-
17.		-



Attachments

16. Provide as Attachment 2, a description of the applicant's and the applicant's key personnel's experience in managing solid waste including any past or present permits or licenses held by the applicant or the applicant's key personnel within the previous five (5) years.

If not applicable, check here.

17. Provide as Attachment 3, a description of the applicant's and the applicant's key personnel's experience in managing hazardous waste including any past or present permits or licenses held by the applicant within the previous five (5) years.

If not applicable, check here.

18. Provide as Attachment 4, a description of all civil and administrative complaints against the applicant and its key personnel for the violation of any state or federal environmental protection law that have resulted in a fine or penalty of more than one thousand dollars (\$1,000) within five (5) years before the date of the submission of this application.

Each description shall include the following: the style of the complaint, the case, file, or other identifying number, the identity of all parties named in the complaint, the forum in which the complaint was filed, the identity of each state or federal agency involved with or named in the complaint, the amount of the fine or penalty, whether the fine or penalty has been paid, the identity and description of each law or regulation violated or alleged to have been violated and upon which the fine or penalty is based; and state whether the fine was the result of settlement or agreed order, an administrative order, or a court judgment. If litigation is ongoing, describe any orders or judgments entered and describe the current status of the litigation.

If not applicable, check here.

19. Provide as Attachment 5, a description of all civil and administrative complaints against the applicant and its key personnel for the violation of any state or federal environmental protection law that allege an act or omission that constitutes a violation of a state or federal environmental protection law and that presented a substantial endangerment to the public health or the environment.

Each description shall include the following: the style of the complaint, the case, file, or other identifying number, the identities of each party to the complaint, the forum in which the complaint was brought, the identity of each state or federal agency involved with or named in the complaint, an explanation of the alleged act or omission, the identity and a description of the environmental protection law or regulation alleged to have been violated, an explanation of all corrective action measures performed to correct or mitigate

the alleged violation, and a description of the alleged endangerment to public health or to the environment. State whether the issues raised in the complaint have been resolved and whether the resolution was the result of a settlement or agreement, an administrative order, or a court order of judgment. If litigation on the allegation is ongoing, describe any orders or judgments entered and describe the present status of the litigation.

If not applicable, check here.

- 20.** Provide as Attachment 6, a description of all pending criminal complaints alleging the violation of any state or federal environmental protection law that have been filed against the applicant and its key personnel within five (5) years before the date of submission of this application.

Each description shall include the following: the style of the case and the identifying case number, the date the complaint was filed, the forum in which the complaint is pending, the identity of the law or regulation allegedly violated and a narrative description of the law or regulation, whether the alleged violation is a misdemeanor or a felony, and all actions and pleadings which have occurred or have been filed in association with the complaint.

If not applicable, check here.

- 21.** Provide as Attachment 7, a description of all judgments of criminal conviction entered against the applicant and its key personnel within five (5) years before the date of submission of this application for the violation of any state or federal environmental protection law.

Each description shall include the following: style, case number, forum in which the complaint was entered, date of judgment, sentence imposed, the identity and a description of each law the applicant was convicted of violating, whether the conviction was the result of a plea agreement or a trial, and, if currently on appeal, the status of the appeal.

If not applicable, check here.

- 22.** Provide as Attachment 8, a description of all judgments of criminal conviction of a felony under the laws of any state or the United States that are entered against the applicant and its key personnel within five (5) years before the date of submission of this application.

Each description shall include the following: style, case number, forum in which the complaint was entered, date of judgment, sentence imposed, the identity and a description of each law the applicant was convicted of violating, whether the conviction was the result of a plea agreement or a trial, and, if currently on appeal, the status of the appeal.

If not applicable, check here.

- 23.** Provide as Attachment 9, a list of the names and addresses of all waste sites or facilities and solid waste management facilities in which the applicant or any of its key personnel has a financial or equitable interest, or is an officer, director, or manager.

If not applicable, check here.

24. As defined by **KRS 224.01-010(44)**, provide the names of all key personnel and their titles or positions. Provide this data as Attachment 10 if additional pages are necessary.

A completed Key Personnel Disclosure Statement must be submitted for each individual listed as a Key Person. Sole proprietors and government agencies are not required to submit key personnel disclosure statements.

If not applicable, check here.

Key Person	Title/Position
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
(10)	
(11)	
(12)	
(13)	
(14)	
(15)	
(16)	
(17)	
(18)	
(19)	
(20)	
(21)	
(22)	
(23)	
(24)	
(25)	



Certification

25. Pursuant to **401 KAR 47:160, Section 6**, a responsible official (company owner, corporate officer, mayor, county judge executive, LLC member or other authorized official) must sign the certification statement below.

NOTE: Consultants may not sign the following certification statement.

“I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for such violations.”

Name of Person Signing (type or print): _____

Title of Person Signing: _____

Date: - -

Signature per 401 KAR 47:160: _____

Subscribed and sworn to before me this _____ day of _____, Year 20_____

Notary Public Signature: _____

State of _____ County of _____ My commission expires: _____



Key Personnel Disclosure Statement

All key personnel are required to submit the following information to the Cabinet.

Sole proprietors (a private owner) and government agencies are exempt.

26. Key Person (print): _____
27. Mailing Address: _____
28. City: _____ 29. State: _____ 30. Zip Code: _____ - _____
31. Phone #: () - _____ 32. Fax #: () - _____ 33. Cell #: () - _____
34. E-Mail Address: _____
35. What is the relationship between the applicant and key person? See KRS 224.010-010(44).
- Partner
 Shareholder
 Corporate Official
 LLC Member
 Other (describe): _____

Attachments

36. Provide as Attachment 11, a description of the key person's experience in managing solid waste including any past or present permits or licenses held by the key person within the previous five (5) years.
- If not applicable, check here.
37. Provide as Attachment 12, a description of the key person's experience in managing hazardous waste including any past or present permits or licenses held by the key person within the previous five (5) years.
- If not applicable, check here.
38. Provide as Attachment 13, a description of all civil and administrative complaints against the key person for the violation of any state or federal environmental protection law that have resulted in a fine or penalty of more than one thousand dollars (\$1,000) within five (5) years before the date of the submission of this application.

Each description shall include the following: the style of the complaint, the case, file, or other identifying number, the identity of all parties named in the complaint, the forum in which the complaint was filed, the identity of each state or federal agency involved with or named in the complaint, the amount of the fine or penalty, whether the fine or penalty has been paid, the identity and description of each law or regulation violated or alleged to have been violated and upon which the fine or penalty is based; and state whether the fine was the result of settlement or agreed order, an administrative order, or a court judgment. If litigation is ongoing, describe any orders or judgments entered and describe the current status of the litigation.

If not applicable, check here.

39. Provide as Attachment 14, a description of all civil and administrative complaints against the key person for the violation of any state or federal environmental protection law that allege an act or omission that constitutes a violation of a state or federal environmental protection law and that presented a substantial endangerment to the public health or the environment.

Each description shall include the following: the style of the complaint, the case, file, or other identifying number, the identities of each party to the complaint, the forum in which the complaint was brought, the identity of each state or federal agency involved with or named in the complaint, an explanation of the alleged act or omission, the identity and a description of the environmental protection law or regulation alleged to have been violated, an explanation of all corrective action measures performed to correct or mitigate the alleged violation, and a description of the alleged endangerment to public health or to the environment. State whether the issues raised in the complaint have been resolved and whether the resolution was the result of a settlement or agreement, an administrative order, or a court order of judgment. If litigation on the allegation is ongoing, describe any orders or judgments entered and describe the present status of the litigation.

If not applicable, check here.

40. Provide as Attachment 15, a description of all pending criminal complaints alleging the violation of any state or federal environmental protection law that have been filed against the key person within five (5) years before the date of submission of this application.

Each description shall include the following: the style of the case and the identifying case number, the date the complaint was filed, the forum in which the complaint is pending, the identity of the law or regulation allegedly violated and a narrative description of the law or regulation, whether the alleged violation is a misdemeanor or a felony, and all actions and pleadings which have occurred or have been filed in association with the complaint.

If not applicable, check here.

41. Provide as Attachment 16, a description of all judgments of criminal conviction entered against the key person within five (5) years before the date of submission of this application for the violation of any state or federal environmental protection law.

Each description shall include the following: style, case number, forum in which the complaint was entered, date of judgment, sentence imposed, the identity and a description of each law the key person was convicted of violating, whether the conviction was the result of a plea agreement or a trial, and, if currently on appeal, the status of the appeal.

If not applicable, check here.

42. Provide as Attachment 17, a description of all judgments of criminal conviction of a felony under the laws of any state or the United States that are entered against the key person within five (5) years before the date of submission of this application.

Each description shall include the following: style, case number, forum in which the complaint was entered, date of judgment, sentence imposed, the identity and a description of each law the key person was convicted of violating, whether the conviction was the result of a plea agreement or a trial, and, if currently on appeal, the status of the appeal.

If not applicable, check here.

43. Provide as Attachment 18, a list of the names and addresses of all waste sites or facilities and solid waste management facilities in which the key person has a financial or equitable interest, or is an officer, director, or manager.

If not applicable, check here.

Certification

44. Pursuant to **401 KAR 47:160, Section 6(4)**, the Key Person must sign this item.

“I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for such violations.”

Name of Key Person (type or print):

Title of Key Person (type or print):

Signature of Key Person: _____ Date: / /

Subscribed and sworn to before me this _____ day of _____, Year
20_____

Notary Public Signature

State of _____ County of _____ My commission expires

